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| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))   |                                 |                             |              | Docket No.<br>780-99-014 |                          |
| In Re Application Of Rohrbach et al.  |                                 |                             |              |                          |                          |
| Application No.<br>10/765,649   | Filing Date<br>January 26, 2004 | Examiner<br>Rohrbach et al. | Customer No. | Group Art Unit<br>1724   | Confirmation No.<br>2600 |
| Title: STAGED OIL FILTER INCORPORATING PELLETIZED BASIC CONDITIONER   |                                 |                             |              |                          |                          |
| <p>Address to:<br/>Commissioner for Patents<br/>P.O. Box 1450<br/>Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> |                                 |                             |              |                          |                          |

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
780-99-014

In Re Application: Rohrbach et al.

| Application No. | Filing Date      | Examiner        | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|-----------------|--------------|----------------|------------------|
| 10/765,649      | January 26, 2004 | Rohrbach et al. |              | 1724           | 2600             |

Title: STAGED OIL FILTER INCORPORATING PELLETIZED BASIC CONDITIONER



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☒ Charge the amount of \$180.00
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on October 1, 2004.

(Date)  
*Misty Segraves*  
Signature of Person Mailing Correspondence

Misty Segraves

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Dated: October 1, 2004

*Mary E. Golota*  
Signature

Mary E. Golota

Reg. No. 36,814

Telephone: 248-524-2300

Fax: 248-524-2700

CC:



October 1, 2004

**Via First Class Mail**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: United States Patent Application  
Entitled: Staged Oil Filter Incorporating Pelletized Basic  
Conditioner  
Serial No.: 10/765,649  
Filed: January 26, 2004  
Attorney Docket: 790-99-014

Sir:

We enclose herewith:

- ☒ Form 1449 with references
- ☒ Transmittal of Information Disclosure Statement
- ☒ 2 Acknowledgment Postcards

The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 06-1130.

Applicant hereby petitions under 37 CFR 1.136 and other applicable rules to have the response period extended the number of months necessary to render the attached communication timely in the event a petition is required.

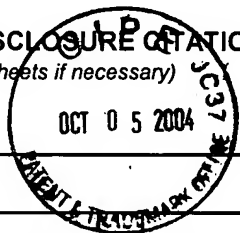
Respectfully submitted,

Mary E. Golota  
Reg. No. 36,814

I hereby certify that this paper is being deposited with the United States Postal Service via first class mail, postage prepaid, addressed to: Mail Stop Amendment Commissioner for Patents, Alexandria, VA 22313-1450, on October 1, 2004.

  
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Misty Segaves

# **INFORMATION DISCLOSURE CITATION** (Use several sheets if necessary)



|                                 |                               |
|---------------------------------|-------------------------------|
| ATTY DOCKET NO.<br>780-99-014   | APPLICATION NO.<br>10/765,649 |
| APPLICANT(S)<br>Rohrbach et al. |                               |
| FILING DATE<br>January 26, 2004 | GROUP ART UNIT<br>1724        |

## **U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE       | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------------|-----------------|-------|----------|-------------------------------|
|                      | 2,262,526       | 11-11-1941 | Fairlie et al.  | 123   | 196      | 07-15-1938                    |
|                      | 2,310,305       | 02-09-1943 | Miller et al.   | 252   | 10       | 12-31-1937                    |
|                      | 3,336,223       | 08-15-1967 | Kneeland et al. | 252   | 9        | 06-08-1965                    |
|                      | 4,075,097       | 02-21-1978 | Paul            | 210   | 168      | 04-01-1975                    |
|                      | 4,075,098       | 02-21-1978 | Paul et al.     | 210   | 168      | 11-07-1975                    |

## **U.S. PATENT APPLICATION PUBLICATIONS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
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## **FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|-----------------|------------|---------|-------|----------|-------------|----|
|  |                 |            |         |       |          | YES         | NO |
|  | WO 94/11556     | 26.05.94   | PCT     | D04H  | 1/54     | ✓           |    |
|  | WO 02/096534 A1 | 05.12.2002 | PCT     | B01D  | 27/02    | ✓           |    |
|  | WO 01/ 85300 A2 | 15.11.2001 | PCT     | B01D  | 27/00    | ✓           |    |
|  | 0416905 A2      | 13.03.91   | EP      | C10M  | 175/00   | ✓           |    |
|  | 0416908 A2      | 13.03.91   | EP      | C10M  | 175/00   | ✓           |    |

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | ATTY DOCKET NO.<br><b>780-99-014</b> | APPLICATION NO.<br><b>10/765,649</b> |
|  | Rohrbach et al.                      |                                      |
|  | FILING<br><b>January 26, 2004</b>    | GROUP ART<br><b>1724</b>             |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE       | NAME           | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------------|----------------|-------|----------|-------------------------------|
|                      | 4,265,748       | 05-05-1981 | Villani et al. | 210   | 132      | 05-08-1980                    |
|                      | 4,557,829       | 12-10-1985 | Fields         | 210   | 132      | 06-13-1984                    |
|                      | 4,660,645       | 04-28-1987 | Newlove et al. | 166   | 304      | 11-20-1984                    |
|                      | 4,751,901       | 06-21-1988 | Moor           | 123   | 196 A    | 10-13-1987                    |
|                      | 4,755,289       | 07-05-1988 | Villani        | 210   | 132      | 11-13-1986                    |

**U.S. PATENT APPLICATION PUBLICATIONS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
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**FOREIGN PATENT DOCUMENTS**

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|  |  |                 |            |              |       |          | YES         | NO |
|  |  | EP 0 708 237 A1 | 24.04.1996 | EP           | F02M  | 27/06    | ✓           |    |
|  |  | EP 1 061 251 A2 | 20.12.2000 | EP           | F02M  | 37/22    | ✓           |    |
|  |  | EP 1 061 251 A3 | 20.06.2001 | EP           | F02M  | 37/22    | ✓           |    |
|  |  | FR 2330856      | 05.11.1976 | France       | F01M  | 1/10     |             | ✓  |
|  |  | SU 1776423 A1   | 23.11.1992 | Soviet Union | B01D  | 27/00    |             | ✓  |

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | ATTY DOCKET NO.<br><b>780-99-014</b> | APPLICATION NO.<br><b>10/765,649</b> |
|  | Rohrbach et al.                      |                                      |
|  | FILING<br><b>January 26, 2004</b>    | GROUP ART<br><b>1724</b>             |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE       | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------------|-------------------|-------|----------|-------------------------------|
|                      | 4,886,599       | 12-12-1989 | Bachmann et al.   | 210   | 287      | 10-23-1987                    |
|                      | 4,906,389       | 03-06-1990 | Brownawell et al. | 252   | 25       | 11-09-1988                    |
|                      | 5,032,259       | 07-16-1991 | He et al.         | 210   | 133      | 12-19-1989                    |
|                      | 5,042,617       | 08-27-1991 | Brownawell et al. | 184   | 6.24     | 09-07-1989                    |
|                      | 5,069,799       | 12-03-1991 | Brownawell et al. | 210   | 749      | 09-07-1989                    |

**U.S. PATENT APPLICATION PUBLICATIONS**

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|----------------------|-----------------|------------|-------------------|-------|----------|-------------------------------|
|                      | 5,069,970       | 12-03-1991 | Largman et al.    | 428   | 373      | 12-18-1989                    |
|                      | 5,209,842       | 05-11-1993 | Moor              | 210   | 168      | 02-03-1992                    |
|                      | 5,478,463       | 12-26-1995 | Brownawell et al. | 208   | 180      | 07-28-1994                    |
|                      | 5,527,452       | 06-18-1996 | Grigoriev et al.  | 210   | 130      | 06-29-1993                    |
|                      | 5,552,040       | 09-03-1996 | Baehler et al.    | 210   | 168      | 12-19-1994                    |

**U.S. PATENT APPLICATION PUBLICATIONS**

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|  |  |  |  | Rohrbach et al.               |  |                               |  |
|  |  |  |  | FILING<br>January 26, 2004    |  | GROUP ART<br>1724             |  |

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| *EXAMINER<br>INITIAL  |  | DOCUMENT NUMBER | DATE       | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|                       |  | 5,591,330       | 01-07-1997 | Lefebvre        | 210   | 203      | 05-25-1994                    |
|                       |  | 5,713,971       | 02-03-1998 | Rohrbach et al. | 55    | 233      | 10-24-1996                    |
|                       |  | 5,718,258       | 02-17-1998 | Lefebvre et al. | 137   | 268      | 10-22-1996                    |
|                       |  | 488,122         | 12-19-1989 | McCready        | 252   | 25       | 11-24-1986                    |
|                       |  | 5,741,433       | 04-21-1998 | Mitchell et al. | 252   | 74       | 06-21-1996                    |

| U.S. PATENT APPLICATION PUBLICATIONS |  |                 |      |      |       |          |                               |
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|  | Applicant(s)<br><b>Rohrbach et al.</b>        |   |
|  | Filing Date<br><b>January 26, 2004</b>        | Group Art Unit<br><b>1724</b>           |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE       | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------------|-----------------|-------|----------|-------------------------------|
|                      |     | 5,759,394       | 06-02-1998 | Rohrbach et al. | 210   | 264      | 11-27-1996                    |
|                      |     | 5,772,873       | 06-30-1998 | Hudgens et al.  | 210   | 192      | 07-11-1997                    |
|                      |     | 5,902,384       | 05-11-1999 | Rohrbach et al. | 96    | 296      | 10-23-1997                    |
|                      |     | 5,948,248       | 09-07-1999 | Brown           | 210   | 206      | 06-09-1998                    |
|                      |     | 6,045,692       | 04-04-2000 | Bilski et al.   | 210   | 198.1    | 04-07-1997                    |

**U.S. PATENT APPLICATION PUBLICATIONS**

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|  | Applicant(s)<br><b>Rohrbach et al.</b>        |   |
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| *EXAMINER<br>INITIAL  | REF | DOCUMENT NUMBER | DATE       | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|                       |     | 6,126,823       | 10-03-2000 | Soderlund et al.   | 210   | 206      | 05-18-1998                    |
|                       |     | US 6,238,554 B1 | 05-29-2001 | Martin, Jr. et al. | 210   | 109      | 06-16-1999                    |
|                       |     | US 6,379,564 B1 | 04-30-2002 | Rohrbach et al.    | 210   | 765      | 05-08-2000                    |
|                       |     | US RE 37,369 E  | 09-18-2001 | Hudgens et al.     | 210   | 192      | 06-21-1996                    |
|                       |     |                 |            |                    |       |          |                               |

| U.S. PATENT APPLICATION PUBLICATIONS |     |                 |      |      |       |          |                               |
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| OTHER DOCUMENTS  | <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> |
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| <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">EXAMINER</div> <div style="width: 55%;">DATE CONSIDERED</div> </div> |   |

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